# Google

# **LearnLM: Improving Gemini for Learning**

**LearnLM Team, Google**

**Today's generative AI systems are tuned to present information by default rather than engage users in service of learning as a human tutor would. To address the wide range of potential education use cases for these systems, we reframe the challenge of injecting pedagogical behavior as one of** *pedagogical instruction following***, where training and evaluation examples include system-level instructions describing the specific pedagogy attributes present or desired in subsequent model turns. This framing avoids committing our models to any particular definition of pedagogy, and instead allows teachers or developers to specify desired model behavior. It also clears a path to improving Gemini models for learning—by enabling the addition of our pedagogical data to post-training mixtures—alongside their rapidly expanding set of capabilities. Both represent important changes from our initial tech report [\[1\]](#page-10-0). We show how training with pedagogical instruction following produces a LearnLM model (available on [Google AI Studio\)](http://goo.gle/LearnLMaccess) that is preferred substantially by expert raters across a diverse set of learning scenarios, with average preference strengths of 31% over GPT-4o, 11% over Claude 3.5, and 13% over the Gemini 1.5 Pro model LearnLM was based on.**

# **1. Introduction**

Our [initial tech report](https://storage.googleapis.com/deepmind-media/LearnLM/LearnLM_paper.pdf) [\[1\]](#page-10-0) from May 2024 surveyed the history and current landscape of education technology, discussed the potential impact of generative artificial intelligence (gen AI) on education, and presented our collaborative approach to developing evaluations.

Following its publication, we received input from across the international education sector, including schools, educational technology ("EdTech") companies, non-profit organizations, and government agencies eager to try our models or otherwise collaborate. Through review of these submissions, over 20 follow-up interviews, and input from Google product teams building gen AI powered learning features, we can summarize the key findings as follows:

- [1](#page-0-0). Pedagogy<sup>1</sup>, or rather, ideal behavior of an AI tutor, is prohibitively difficult to define given the wide range of grade-levels, subjects, languages, cultures, product designs, and philosophies that must be accommodated. While there are many commonalities, appropriate behavior in different contexts may be different or even contradictory, and it is best left to the developer or teacher to specify.
- 2. In developing AI learning systems, the most commonly cited, immediately useful behavior in an underlying model is the ability to follow system instructions to create interactive tutor-led exercises. Teachers or developers who specify these instructions want to feel confident that the AI tutor will follow the specified instructions accurately, even if a student tries to circumvent them (e.g., "do not give away the answer" or "stay on topic").
- 3. Post-hoc fine-tuning for each application can be effective in the short-term, but is impractical because of cost, maintenance, and rapidly improving base models. Thus, despite its shortcomings, prompting will likely remain the best way for education product developers to specify behavior.

This paper describes how we have updated our modeling and evaluation methodology in light

<span id="page-0-0"></span><sup>1</sup>We use the term *pedagogy* in as broad a sense as possible, certainly not limited to children, to evoke techniques of teaching and associated learning by humans.

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<span id="page-1-1"></span>

Figure 1 | An overview of our three-stage human evaluation pipeline and our results for comparing LearnLM with other systems. (1) Learning scenarios are developed that allow raters to role-play specific learners interacting with pairs of AI tutors (2). Grounding material (e.g. an essay, homework problem, diagram, etc.) and System Instructions specific to each scenario are passed as context to each model. The resulting conversation pairs are reviewed by pedagogy experts (3) who answer a range of questions assessing each model on its own as well as their comparative performance. These comparative ratings (on a seven-point -3 to +3 Likert scale) are aggregated (4) to show overall preference for LearnLM over GPT-4o, Claude 3.5, and Gemini 1.5 Pro. See Section [4](#page-6-0) for more detailed results.

of these observations. Specifically, we cast our work as *pedagogical instruction following*, meaning that we contextualize training and evaluation examples with system-level instructions that describe desired pedagogical behavior. This approach avoids any narrow specification of how systems should behave, and allows us to effectively add pedagogical data to the rest of Gemini's training mixture without conflicts of persona or style. We also include Reinforcement Learning from Human Feedback (RLHF) [\[2\]](#page-10-1) in our training procedure to allow models to follow more nuanced pedagogical instructions and preferences.

Using the updated methodology, we trained a new version of *LearnLM*, which is based on Gemini 1.5 Pro $^2$  $^2$  [\[3\]](#page-10-2). In our evaluations against contemporaneous flagship models, each representing a company's premier offering as of 2024-10-01, expert pedagogical raters preferred LearnLM with an average preference strength of 31% over GPT-4o, 11% over Claude 3.5 Sonnet, and 13% over the original Gemini 1.5 Pro (see Figure [1\)](#page-1-1). LearnLM is available as an experimental model on [Google AI](http://goo.gle/LearnLMaccess) [Studio](http://goo.gle/LearnLMaccess) along with [documentation](https://ai.google.dev/gemini-api/docs/learnlm) of example use cases and suggested prompts. We welcome any [feedback,](https://docs.google.com/forms/d/e/1FAIpQLSf5-B50OnNFjVGHLFkSerP1k0PZXHMgcnQ7k1cM_hIsqIjpjA/viewform) which will help inform our future research and prioritization. As we improve LearnLM for teaching and learning, we are also working to bring these advances into Gemini models, so any developers using Gemini can benefit from the improvements made via LearnLM research.

Section [2](#page-2-0) describes how we trained LearnLM for pedagogical instruction following and Section [3](#page-3-0) explains how we updated our scenario-based evaluation design accordingly. Section [4](#page-6-0) shows a detailed analysis of results comparing LearnLM with other premier model offerings. Finally, Section [5](#page-9-0) outlines some future work, especially with regards to continued evaluation. Training and evaluation

<span id="page-1-0"></span><sup>&</sup>lt;sup>2</sup>Specifically gemini-1.5-pro-002 [\(release notes\)](https://cloud.google.com/vertex-ai/generative-ai/docs/learn/model-versions).

of LearnLM is done across a broad range of core academic subjects, and we include a feasibility study on medical education subjects in Appendix [C.](#page-30-0)

# <span id="page-2-0"></span>**2. Modeling**

In our original tech report [\[1\]](#page-10-0), we adapted the behavior of a base model by Supervised Fine-Tuning (SFT) with a range of synthetic and human-written datasets. Since then, we have made a number of substantial changes to our training strategy: First, we updated our SFT data according to our focus on pedagogical instruction following. Second, we decided to additionally leverage Reinforcement Learning from Human Feedback (RLHF)[\[2\]](#page-10-1), for which we collected human preference data to train Reward Models (RMs) and prompts for the RL stage. Third, rather than running our own post-training after Gemini's standard post-training, we *co-train* with Gemini, meaning we mix our data directly with Gemini's SFT, RM, and RL stages. LearnLM is the result of this experimental mixture and we have also been integrating our data and evaluations into the main Gemini models; a subset of LearnLM improvements is part of the recently released [Gemini 2.0](https://blog.google/technology/google-deepmind/google-gemini-ai-update-december-2024/) models [\[4\]](#page-11-0).

# **2.1. Pedagogical instruction following**

Instruction following (IF) refers to a model's ability to follow prompts, usually to better align with human intents [\[5\]](#page-11-1). Gemini [\[3\]](#page-10-2) differentiates between *User Instructions*, inserted by a user during conversation, and *[System Instructions](https://ai.google.dev/gemini-api/docs/system-instructions)*, typically specified by a developer ahead of any user interaction, which take precedence over any subsequent instructions provided by the user. System Instructions can vary greatly in complexity, from a single minimally specified sentence like "You are a knowledgeable writing coach", to specific conditional expectations, e.g. "If the user has answered 3 questions correctly, move to the next topic", to detailed, multi-paragraph instructions that describe complex tasks and behaviors, exemplified by the education prompts in Mollick and Mollick [\[6\]](#page-11-2), or the recently proposed Complex IF benchmark [\[7\]](#page-11-3).

Instructions broadly fall into two categories: hard constraints, often used for length, formatting, or content requirements (e.g. "summarize the text in less than 100 words" or "do not use word X"), and soft, more nuanced constraints or guidelines, often used to control style, persona, or tone (e.g. "use a professional voice" or "use language that is easier to understand for a non-native speaker"). Among open-source IF benchmarks, IFEval [\[8\]](#page-11-4) focuses on programmatically verifiable IF, a subset of hard constraints, with more recent benchmarks like Qin et al. [\[9\]](#page-11-5) expanding the scope to include more nuanced linguistic and stylistic guidelines. For educational use cases, both categories of instructions are important, e.g. "do not reveal the answer" is a hard constraint, while "use a motivating tone" is a soft one.

Improvements on IF capabilities have already resulted in better model responses for many learning use cases. In this work, we build on this progress and focus on improving instruction following for pedagogical System Instructions, which tend to be more complex, nuanced and not easily verifiable; these attributes make them more difficult for models to follow.

# **2.2. Post-training and data collection strategy**

Our primary modeling strategy is to collect data that improves the models' ability to follow pedagogical System Instructions that we observed were common for developers building AI tutors. Accordingly, we updated our SFT data so that each conversation begins with a different System Instruction that specifically describes the pedagogical behavior present in that conversation. More general or vague instructions are counterproductive because the model learns to ignore instructions that are not useful for predicting the target model turns.

To collect human preference data, we similarly seed each conversation with a different pedagogicallyfocused System Instruction, and ask raters to label model samples based on the degree to which they adhere to those instructions. These conversations and turn-level labels are used to train a reward model, which is then employed during RLHF to score samples from the policy model. While SFT seems to improve pedagogical instruction following somewhat, RL is significantly more effective, as preference judgements often contain subtle distinctions in how instructions are interpreted and followed in the context of long conversations.

# **2.3. Benefits of co-training**

Pedagogical behavior is often at odds with typical behavior of conversational AI, principally because learning is often a process of discovery rather than simply a transfer of information. Our instruction following approach allows us to mix pedagogical conversation data alongside data that contains more typical interactions by conditioning pedagogical model responses on specific System Instructions. By co-training with Gemini's post-training mixture, we allow the model to learn new kinds of instruction following without "forgetting" other core reasoning, multimodal understanding, factuality, safety, or multi-turn properties. Moving forward, we can also more easily keep LearnLM in sync with Gemini as the training recipe evolves.

# <span id="page-3-0"></span>**3. Human Evaluation Design**

In our initial tech report we discussed a taxonomy of pedagogy evaluation designs, and reported results of four human evaluations with different methodologies (Sections 4 and 5 in Jurenka et al. [\[1\]](#page-10-0)). Here, we focus on scenario-guided, conversation-level pedagogy evaluations and side-by-side comparisons. We improved the clarity and coverage of our learning scenarios, added System Instructions specific to each scenario, and updated the pedagogy rubric and questions. Guiding the conversations with scenarios is especially important in multi-turn settings [\[10\]](#page-11-6): without scenarios, the unconstrained nature of human-AI interactions frequently leads to meandering conversations, offering a poor basis for comparison. In contrast, scenario-based approaches support relatively repeatable, controlled comparisons of the capabilities of different conversational AI systems. Scenario frameworks also help with evaluation coverage, ensuring that we test a diverse range of use cases.

Our evaluation process takes place in three stages, depicted above in Figure [1.](#page-1-1) First, we identified an ecologically representative distribution of learning use cases and created a bank of 49 evaluation scenarios (Section [3.1\)](#page-3-1). Second, these scenarios grounded interactions between AI systems and a pool of  $N = 186$  pedagogy experts role-playing as learners across learning goals, subjects, learning materials, and learner personas (Section [3.2\)](#page-4-0). Third, to assess the quality of pedagogy in these interactions, we separately recruited a pool of  $N = 248$  pedagogy experts to review the performance of the systems (Section [3.3\)](#page-5-0). This process produced ample quantitative and qualitative data to help us understand the systems' capabilities and behavior (Section [3.4\)](#page-5-1).

<span id="page-3-1"></span>We are committed to following best practices in research ethics, including by communicating transparently about our research aims, collecting informed consent, and compensating fairly for participation [\[11\]](#page-11-7). Our protocol underwent independent ethical review, with a favourable opinion from the Human Behavioural Research Ethics Committee at Google DeepMind (#21 008).

## **3.1. Scenario design**

An *evaluation scenario* is a structured template that supports consistent, multi-turn evaluations of conversational AI systems. A scenario specifies certain "key properties" about an interaction between an individual and an AI system, such as the goals, traits, and actions for the individual, as well as relevant conversational context. The scenarios that we curated ask human participants to role-play as different types of learners (e.g., students in classrooms, or independent EdTech users) across a wide range of learning contexts that vary by academic discipline, learning objective, and instructional approach. We used a systematic procedure to develop the bank of learning scenarios, drawing upon input from the educational ecosystem and support from pedagogy experts:

**Phase 1: Use-case elicitation.** To begin the development of our scenario bank, we solicited feedback from EdTech companies, educational institutions, and Google product teams seeking to apply gen AI to tutoring and teaching. We asked them to share common use cases, prompts, opportunities, and challenges they saw for gen AI in real-world educational settings. We compiled and analyzed this feedback as a team with the goal of identifying common themes that should inform our evaluation approach.

**Phase 2: Template design.** Based on these use cases, opportunities, and challenges, we drafted a structured scenario template (see "Scenario structure and contents" in Appendix [B.1\)](#page-17-0) and a specific protocol to steer scenario generation, including a set of guiding questions for each property (see "Protocol for scenario generation" in Appendix  $B.2$ ).

**Phase 3: Scenario generation and refinement.** We next collaboratively and iteratively populated our bank of scenarios. Members of our team, including two with many years of professional experience in education of students as well as teachers, independently drafted scenarios, leveraging the template and guiding questions from Phase 2. We collectively reviewed the scenario drafts, assessing each for clarity, completeness, correctness, and relevance to our pedagogical principles and the use cases defined in Phase 1. We weighted the overall distribution of scenarios across different learning goals, personas, and subject areas, flagging any gaps for further development. This process resulted in a diverse bank of 49 scenarios across academic subjects (see Appendix [B.3](#page-20-0) for examples).

## <span id="page-4-0"></span>**3.2. Conversation collection**

In the second stage, we collected a corpus of conversations in which human participants role-played learners interacting with an AI system, as specified in the evaluation scenarios. To effectively simulate learner behavior in our educational scenarios, we recruited a pool of  $N = 168$  pedagogy experts with advanced academic degrees and two or more years of experience as a tutor.

Every session of conversation collection began with a short training on role-playing the scenarios (see Figure [2,](#page-5-2) [Step 1\)](#page-5-3). After passing a quiz at the end of the training, participants selected a scenario to enact (see Figure [2,](#page-5-2) [Step 2\)](#page-5-4). Conversation collection proceeded in pairs, such that the same participant enacted a scenario first with one AI system, and then another (LearnLM and a comparison system). We randomized the order of the systems for each conversation pair and did not label the systems for participants. Within each pair of conversations, the models received the same System Instructions, grounding material, and initial learner queries as context, as specified by our scenarios (see Figure [2,](#page-5-2) [Step 3\)](#page-5-5). We formatted all inputs identically, except for some small specification differences mandated by the system APIs.

<span id="page-5-5"></span><span id="page-5-4"></span><span id="page-5-3"></span><span id="page-5-2"></span>

<span id="page-5-8"></span><span id="page-5-7"></span><span id="page-5-6"></span>Figure 2 | Workflow to generate conversations based on educational scenarios. A participant enacts conversations with prompted models as defined by scenarios. The participant then fills out a survey capturing quality and preference between models.

As specified by our template, each scenario included an initial query for the learner that was automatically sent on behalf of the participant to begin the conversation. After the AI system responded to that query, the participant continued the interaction, guided by the information provided in the scenario. We required participants to continue for a minimum of 10 conversational turns (thus, a minimum of 5 learner and 5 system turns) before they could end the interaction, but on average, participants conversed with the models for around twice this number of turns (Figure [3\)](#page-7-0).

After ending each conversation (see Figure [2,](#page-5-2) [Step 4\)](#page-5-6), participants filled out a brief questionnaire to share their experience interacting with the system (see Figure [2,](#page-5-2) [Step 5](#page-5-7) & Appendix [B.4\)](#page-25-0). Additionally, after each pair of conversations, participants completed another questionnaire focused on their impressions comparing the two systems (see Figure [2,](#page-5-2) [Step 6](#page-5-8) & Appendix [B.5\)](#page-25-1). Participants could then either select a new scenario to begin two additional conversations or end the session.

#### <span id="page-5-0"></span>**3.3. Pedagogical assessment**

Finally, in the third stage, we recruited another pool of  $N = 228$  pedagogy experts—again with advanced academic degrees and two or more years of experience as a tutor—to analyze these conversations and assess the pedagogical capabilities of the different AI models.

<span id="page-5-1"></span>Each assessment session began with a short training on the goals of our evaluation and the scenario template. We randomly assigned each participant a scenario to review. After review, we randomly assigned them a pair of conversations from that scenario to assess (i.e., a pair of conversations collected from a single participant from the conversation-collection stage). Participants reviewed one conversation transcript at a time. After reading a transcript, participants answered a questionnaire focused on the pedagogical performance of the AI system from that conversation (see Appendix [B.6\)](#page-26-0). After every pair of conversations, participants completed an additional brief questionnaire comparing their assessment of the two systems (see Appendix [B.7\)](#page-27-0). We aimed to collect three independent assessments for each pair of conversations to reduce the effects of interrater variability.

#### **3.4. Analysis**

We employ a Bayesian statistical framework for our quantitative analyses. By directly quantifying the probability of hypotheses and providing a clear, interpretable measure of uncertainty, Bayesian analysis offers a practical, informative approach for evaluating AI systems intended for deployment in the real world.

Our study design involves repeated measurements from our participants. That is, each participant role-playing as a learner interacted with each system multiple times, and each expert assessed each system multiple times. To account for this non-independence and avoid artificially inflating our confidence in our estimates, we analyze our data with hierarchical models [\[12\]](#page-11-8).

In addition, we conducted qualitative analysis of the open-ended comments and feedback collected from our experts after role-playing each scenario with two systems (stage 2) $^3$  $^3$ . To do so, we first identified and then refined general themes related to the learner-system interactions from participants' free-form responses. We then coded individual responses for the presence or absence of each theme. To avoid biasing our annotations, we censored the identities of the systems during this process. See Appendix [B.8](#page-27-1) for the codebook that we developed through our analysis.

## <span id="page-6-0"></span>**4. Results**

We compared LearnLM against contemporaneous flagship offerings (as of 2024-10-01), in particular GPT-[4](#page-6-2)0<sup>4</sup>, Claude 3.[5](#page-6-3) Sonnet<sup>5</sup>, along with Gemini 1.5 Pro<sup>[6](#page-6-4)</sup>, which we adapted to train LearnLM. Since our evaluation process began, all these models have been updated and improved and new versions have been released. Therefore, our results only reflect a reasonably fair comparison at a specific moment; still, we hope that our continued investment in education maintains or increases relative preference for our models.

In total, we collected a set of 2360 conversations, consisting of 58 459 total learner and model messages. We collected 10 192 expert assessments of those conversations, with an average of three experts reviewing each pair of conversations. Figure [3](#page-7-0) shows that the systems we evaluate demonstrate notably different response length distributions across the collected conversations, including between Gemini 1.5 Pro and LearnLM, but there is no clear relationship between length and perceived quality as seen in other model comparisons [\[13\]](#page-11-9).

We review evaluation results as follows: first, comparative preference ratings between systems from evaluation stage 3, second, non-comparative ratings from evaluation stage 3, third, non-comparative ratings after role-playing learners in evaluation stage 2, and fourth, analysis of open-ended feedback from evaluation stage 2.

First, comparative preference ratings (Figure [4\)](#page-7-1) reveal a strong preference toward LearnLM over GPT-4o for all five comparative assessment categories. Experts expressed the strongest preference for LearnLM in overall pedagogy ("Which tutor demonstrated better tutoring?"). They also communicated similar but smaller preferences toward LearnLM over Claude 3.5 and Gemini 1.5 Pro; the latter comparison directly reflects the changes we made by adding pedagogical data (Section [2\)](#page-2-0).

Second, Figure [5](#page-8-0) shows the mean performance of each model on our pedagogy rubric. Experts

<span id="page-6-1"></span> $3$ We collected open-ended feedback in stage 3 as well, but because the evaluation rubric in this stage is quite extensive, the raters did not provide much additional detail in their comments.

<span id="page-6-2"></span><sup>4</sup>GPT-4o version 2024-08-06, <https://platform.openai.com/docs/models/gpt-4o>.

<span id="page-6-4"></span><span id="page-6-3"></span><sup>5</sup>Claude 3.5 Sonnet version 2024-06-20, <https://docs.anthropic.com/en/docs/about-claude/models>.

<sup>6</sup>Gemini 1.5 Pro-002 from 2024-09-24, [https://cloud.google.com/vertex-ai/generative-ai/docs/](https://cloud.google.com/vertex-ai/generative-ai/docs/learn/model-versions) [learn/model-versions](https://cloud.google.com/vertex-ai/generative-ai/docs/learn/model-versions).

<span id="page-7-0"></span>

Figure 3 | (Top) The specific LLMs compared, along with aggregate statistics across all conversations collected: average number of model turns per conversation and average number of words per turn; (Bottom) Histograms of the number of words used per turn by each model.

<span id="page-7-1"></span>

Figure 4 | Pedagogy experts' preferences over LearnLM and other contemporaneous systems (Claude 3.5, GPT-4o, and Gemini 1.5 Pro). The scatterplots represent the underlying distribution of seven-point preference ratings. Given the large number of ratings we collected, these scatterplots proportionally downsample to 500 ratings per measure, color-coded based on the preference scale (dark purple corresponds to strong preference for LearnLM), and randomly positioned around each integer rating for readability. The red points and error bars indicate the estimated mean and its 95% credible interval for each measure. These means are also shown in Figure [1.](#page-1-1)

evaluated individual pedagogy qualities on a seven-point scale. On average, each system received a positive assessment across every rubric category from this review. LearnLM was rated highest across all rubric categories, and across almost all 29 rubric questions, standing out on *inspiring active learning*, *deepening metacognition*, and *stimulating curiosity*.

Third, Figure [6](#page-8-1) depicts the degree to which each system increased participants' interest in the tutoring topic, participants' willingness to use the model in the future [\[14\]](#page-11-10), and their perceptions of the competence and warmth of the model [\[15,](#page-11-11) [16\]](#page-11-12). Our participants reported relatively similar experiences with LearnLM, Gemini 1.5 Pro, and Claude 3.5. In contrast, participants indicated weaker experiences with GPT-4o in terms of stimulating their interest, its perceived warmth, and its perceived usefulness. Of course, these ratings come from experts role-playing learners, but they give some early indication about the user experience of these systems in the scenario settings.

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Figure 5 | Evaluation of systems on each category of our pedagogy rubric. Error bars reflect 95% credible intervals for the mean.

<span id="page-8-1"></span>

Figure 6 | Impressions shared by the pedagogy experts role-playing as learners in our pedagogical scenarios. Error bars indicate 95% credible intervals from the posterior distribution for the mean.

Fourth, we randomly subsampled 203 explanations (20% of the 1024 explanations that we collected) for thematic analysis of role-played learner preferences (see Table [1](#page-9-1) for more details, including several example response excerpts per theme). Overall, the themes that emerged most consistently in our subsample were **is engaging** (appearing in 72 of the subsampled explanations), **conversation\_style** (67 explanations), and **gives\_away\_answers** (50 explanations).

When participants reported preferring LearnLM over the other model, their explanation was more likely to contain the themes **keeps\_on\_topic**, **challenges\_learner**, and **gives\_away\_answers**. When participants preferred other models to LearnLM, their explanation was more likely to touch on the themes **clarity**, **info\_amount**, and **conversation\_style**. The experts role-playing as learners tended to see LearnLM as better at remaining on topic and guiding learners to a robust understanding of concepts, rather than simply giving away answers. On the other hand, these experts occasionally found LearnLM to be less suitable in terms of information delivery or conversation style.

#### **4.1. Safety evaluation**

Similar to the process described in our initial tech report  $[1]$  and the Gemini tech reports  $[3, 17]$  $[3, 17]$  $[3, 17]$ , safety, responsibility, and assurance evaluations were carried out on LearnLM in collaboration with Google DeepMind's Responsible Development and Innovation team and Google's Trust and Safety team to ensure adherence to Gemini's model policy as well as a learning-specific model policy.

<span id="page-9-1"></span>

Table 1 | Themes which were more likely to appear in "learner" explanations of preferences favoring LearnLM (top three rows), or favoring other models (bottom three rows). This table displays themes (i) referenced by at least 10% of all sampled preference explanations, and (ii) showing an extreme ratio of occurrence between explanations favoring LearnLM and explanations favoring other models.

<span id="page-9-0"></span>**Model cards** Due to our reframing in terms of pedagogical instruction following and our decision to co-train (see Section [2\)](#page-2-0), our training and safety evaluation procedure is now fully aligned with Gemini 1.5. See Table 45 in Appendix 12 of the Gemini 1.5 report [\[3\]](#page-10-2) for a model card. For details on learning-specific dataset curation and safety evaluations, and a discussion of ethical risks and limitations, see Section [2](#page-2-0) and the original LearnLM tech report [\[1\]](#page-10-0), including the model card presented in Appendix A therein.

# **5. Conclusion**

We have described our motivation and approach to improving foundation models for learning use cases, which relies on System Instructions to condition desired behavior. We updated Gemini's post-training mixture to add demonstration data (via SFT) and human preference data (via a Reward Model and RLHF) to teach the model to follow a range of pedagogical instructions. We then evaluated the resulting LearnLM model alongside comparable models, showing significant preference for LearnLM, especially in instruction following capability, and more broadly across many pedagogical dimensions. The work described here represents the beginning of our effort to improve Gemini for learning use cases, as we bring the advances from LearnLM into Gemini<sup>[7](#page-10-3)</sup>. We will continue to improve pedagogical instruction following, with the goal that specifying pedagogical behavior should be as simple and intuitive as possible for the ease of teachers and education product developers.

In addition to model improvements, we are planning more updates to our evaluation methodology. First, we want to work toward more consensus on a universal framework for pedagogical assessment of AI systems. Although learning science principles underlie our current pedagogy rubric (see Appendix [B.7\)](#page-27-0), we need to work more closely with a diverse set of stakeholders to make sure it is appropriate for all learners and achieves the trust and approval of the broader education community.

Second, we would like to start moving from intrinsic evaluations, which measure the model's performance according to a predefined pedagogy standard, to extrinsic evaluation, which measure impact such as learning outcomes. Intrinsic evaluations are useful for model development, as they are faster to run and directly identify the shortcomings in the models. However, while the core principles of our rubric, such as encouraging active learning and managing cognitive load, are broadly agreed upon and evidence-based  $[18]$ , it is unclear how well the results translate to improvements in learning outcomes. It is likely that as the field matures and AI systems master the basics of tutoring dialogue, extrinsic evaluations will play a more important role. Recently, they have been used both for demonstrating improvements in learning outcomes [\[19,](#page-12-0) [20\]](#page-12-1) and for comparing different systems and prompts [\[21\]](#page-12-2).

Finally, we would like to explore evaluations beyond core academic subjects, starting in this report with a feasibility study on medical education subjects (Appendix  $C$ ). As we continue to improve Gemini for use across a diverse range of educational settings, we welcome insights from applications of LearnLM to help us work towards realizing the potential of AI in education and learning [\[22–](#page-12-3)[24\]](#page-12-4).

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<span id="page-10-3"></span><sup>&</sup>lt;sup>7</sup>At the time of publication, some of our data has already been added to Gemini 2 models  $[4]$ .

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**Core Contributors** Abhinit Modi, Aditya Srikanth Veerubhotla, Aliya Rysbek, Andrea Huber, Brett Wiltshire, Brian Veprek, Daniel Gillick, Daniel Kasenberg, Derek Ahmed, Irina Jurenka, James Cohan, Jennifer She, Julia Wilkowski, Kaiz Alarakyia, Kevin McKee, Lisa Wang, Markus Kunesch, Mike Schaekermann, Miruna Pîslar, Nikhil Joshi, Parsa Mahmoudieh, Paul Jhun, Sara Wiltberger, Shakir Mohamed, Shashank Agarwal, Shubham Milind Phal, Sun Jae Lee, Theofilos Strinopoulos, Wei-Jen Ko.

**Contributors** Amy Wang, Ankit Anand, Avishkar Bhoopchand, Dan Wild, Divya Pandya, Filip Bar, Garth Graham, Holger Winnemoeller, Mahvish Nagda, Prateek Kolhar, Renee Schneider, Shaojian Zhu, Stephanie Chan, Steve Yadlowsky, Viknesh Sounderajah, Yannis Assael.

The roles are defined as follows: *Core Contributors* had direct and significant impact on the work presented in this report. *Contributors* made contributions to the work presented in this report. Within each role, the order is alphabetical and does not indicate ordering of contributions.

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# **A. Additional results**

#### **A.1. Preferences for participants role-playing as learners**

The participants role-playing as learners (Figure [7\)](#page-14-0) revealed a preference toward LearnLM over GPT-4o for all four comparative assessment categories. Experts expressed the strongest preference for LearnLM in overall pedagogy ("Which tutor demonstrated better tutoring?") and in similarity to a quality human tutor ("Which tutor was more like a very good human tutor?"). These participants indicated no substantial preference between LearnLM and Gemini 1.5 Pro or between LearnLM and Claude-3.5.

<span id="page-14-0"></span>

Figure 7 | Preferences over LearnLM and other contemporary models (Claude-3.5, GPT-4o, and Gemini 1.5 Pro) according to the pedagogical experts role-playing as learners. The scatterplots represent the underlying distribution of seven-point preference ratings. Given the large number of ratings we collected, these scatterplots proportionally downsample to 500 ratings per measure. The red points and error bars indicate the estimated mean and its 95% credible interval for each measure.

#### **A.2. Learner quality in collected conversations**



Figure 8 | At the beginning of the pedagogical assessment process, we asked experts to evaluate how closely the human participants in the conversation transcripts followed the scenario instructions (i.e., how effectively they role-played the learner in the scenario) on a seven-point scale. This plot shows the responses grouped and averaged by transcript. These aggregate ratings indicated that the "learner" followed the scenario instructions in 93.4% of conversation transcripts.

#### **A.3. Pedagogical assessment: detailed results**



Figure 9 | Evaluation of tutor models on specific subdimensions of the "Cognitive load" rubric category. Error bars reflect 95% credible intervals from the posterior distribution for the mean.



Figure 10 | Evaluation of tutor models on specific subdimensions of the "Active learning" rubric category. Error bars reflect 95% credible intervals from the posterior distribution for the mean.



Figure 11 | Evaluation of tutor models on specific subdimensions of the "Deepen metacognition" rubric category. Error bars reflect 95% credible intervals from the posterior distribution for the mean.



Figure 12 | Evaluation of tutor models on specific subdimensions of the "Stimulates curiosity" rubric category. Error bars reflect 95% credible intervals from the posterior distribution for the mean.



Figure 13 | Evaluation of tutor models on specific subdimensions of the "Adaptivity" rubric category. Error bars reflect 95% credible intervals from the posterior distribution for the mean.

# **B. Methods**

# <span id="page-17-0"></span>**B.1. Scenario structure and contents**

We designed our scenario template to capture the following essential elements of an interaction between a learner and a tutor:

- *Subject area*: The broader academic domain (e.g., mathematics, natural science, arts).
- *Subtopic*: The specific subject matter addressed within the broader subject area (e.g., algebra within mathematics).
- *Setting*: The context of the tutoring session, categorized as either "Classroom" (taking place within a course curriculum managed by a human teacher) or "Self-Taught" (unfolding with the learner studying a topic on their own).
- *Learning goal*: The learner's overall objective for the interaction.
- *Grounding material*: The specific learning material that provides the basis for the learner's study or work.
- *Learner persona*: The learner's behavioral profile, describing broader traits and motivational patterns. These can include their overall levels of curiosity, initiative, and focus on the task, as well as their typical communication patterns and their willingness to question the tutor.
- *Conversation plan*: A set of actions the learner should take during the interaction, based on their learning goal and persona.
- *Initial learner query*: The opening message that the learner uses to initiate the interaction.
- *System instructions*: Guidelines provided to the AI tutor, outlining desired behaviors and pedagogical approaches.

# <span id="page-17-1"></span>**B.2. Protocol for scenario generation**

We used the following protocol to guide the generation of our scenarios. On "choose" steps, the person writing the scenario generated the property in question by selecting from a predefined set of options. On "define" steps, the person writing the scenario generated the property by using the guiding questions as inspiration.

- 1. Choose a subject area.
	- What broad academic domain does this interaction concern?
	- Will this interaction focus on "Arts", "Computer Science", "English", "History", "Mathematics", "Medicine", "Natural Science", or "Social Science"?
- 2. Define a subtopic.
	- Within the chosen subject area, what specific topic will the learner study (e.g., algebra within mathematics, psychology within social science)?
- 3. Choose the setting.
	- What is the setting for this interaction?
	- Does this interaction occur in a structured "Classroom" environment (scenarios where students study a set curriculum defined by a human teacher) or a more informal "Self-Taught" context (scenarios where learners study a topic on their own)?
- 4. Choose a learning goal.
	- What is the learner's primary objective in this interaction?
- Are they seeking to learn a new concept ("Teach me X"), receive assistance with a homework assignment ("Homework Help"), prepare for an examination ("Test Prep"), or work on a specific skill ("Practice")?
- 5. Define any grounding materials.
	- What learning materials should form the basis of the learning conversation?
	- Grounding material can be a video, an image (e.g., of a homework problem), or a file (e.g., a textbook or a textbook chapter).
	- Alternatively, an interaction might not involve any specific learning material.
	- The scenario should either provide a filepath or web address to access the material, or should indicate that there are no grounding materials.
- 6. Define a learner persona.
	- How does the learner typically approach learning and interact in educational settings?
	- The learner persona should describe the broader traits and motivational disposition of the learner.
	- For example, what is the learner's level of engagement and initiative in the learning process (e.g., minimal, moderate, high)?
	- How focused is the learner on the given task or topic (e.g., easily distracted, highly focused)?
	- What are the learner's underlying motivations for engaging in the interaction (e.g., seeking answers, acquiring knowledge, building understanding)?
	- How does the learner tend to communicate (e.g., terse responses, probing questions)?
	- Does the learner exhibit any other broad behavioral patterns (e.g., showing work, challenging the tutor)?
	- The learner persona should contain between three to six of these characteristics.
- 7. Define an initial learner query.
	- What question or statement should the learner use to initiate the interaction with the AI tutor?
	- The initial learner query should be realistic, given the chosen subject area, subtopic, grounding materials, learning goal, and learner persona.
	- The initial learner query can range in length—from just a few words to multiple full paragraphs. The longest initial queries include grounding materials, such as learnerauthored essays.
- 8. Define a conversation plan.
	- What is the context for the tutoring conversation (e.g., the learner's objective, interest, school level, and prior knowledge)?
	- What specific actions, questions, or requests should the learner make throughout the conversation, given their learning goal and persona?
	- We include a diverse set of example actions in Table [...].
	- The conversation plan provides the background information necessary for an authentic encounter between a human learner and an AI tutor.
	- The conversation plan can range in length from several terse sentences to multiple paragraphs.
- 9. Define system instructions.
	- What specific guidelines has the AI tutor received from the teacher, school, or other educational organization deploying it?
	- These instructions can include desired persona (e.g., encouraging, formal), actions to take (e.g., ask for grade level, provide hints), pedagogical methods to employ (e.g., Socratic

questioning, scaffolding), and any limitations or constraints (e.g., avoid giving away answers).

- In "Classroom" settings, the system instructions come from the teacher or school, and the AI tutor should follow the system instructions in the interaction regardless of the student's instructions.
- In "Self-taught" settings, the system instructions come from some other organization (e.g., an EdTech company hosting the AI tutor online). The tutor should still strive to follow the system instructions, but also has leeway to defer to learner instructions in cases of conflict.
- The system instructions can range in length from a single sentence to multiple paragraphs—potentially varying by both breadth (i.e., number of instructions) and depth (i.e., granularity and specificity of instructions).
- The system instructions can vary in diction, syntax, and format.

# <span id="page-20-0"></span>**B.3. Example scenarios**











# <span id="page-25-0"></span>**B.4. Conversation collection: conversation-level questions**

After ending an interaction with a tutor, participants completed a questionnaire on their experience interacting with the tutor. Table [6](#page-25-2) describes the question content and response format for these questionnaires.

<span id="page-25-2"></span>

<span id="page-25-1"></span>Table 6 | Conversation-level questions within the conversation collection study

## **B.5. Conversation collection: comparative questions**

After completing a pair of interactions within a scenario, participants filled out an additional questionnaire comparing their experiences interacting with the two tutors. Table [7](#page-26-1) describes the question content and response format for the questionnaire.

<span id="page-26-1"></span>

<span id="page-26-0"></span>Table 7 | Comparative questions within the conversation collection study

## **B.6. Pedagogical assessment: conversation-level questions**

Participants in the pedagogical assessment study answered a total of 31 questions about each conversation they reviewed:

- First, they responded to an item concerning the learner's performance in enacting their learner persona as specified by the scenario ("Please rate your agreement with the following statement: The student followed the instructions of their 'learner persona'.")<sup>[8](#page-26-2)</sup>. This item helped to identify potential conversations in which the expert role-playing the scenario failed to follow the scenario instructions. This question was a seven-point Likert-type scale anchored with "Strongly disagree" and "Strongly agree".
- Next, they indicated their agreement with a sequence of 29 items assessing the tutor's pedagogical capabilities. We iterate on our previous conversation-level rubric [\[1\]](#page-10-0) by improving the simplicity and clarity of wording for items, and by splitting up several double-barreled items.

<span id="page-26-2"></span><sup>&</sup>lt;sup>8</sup>When a question contained a reference to a scenario field (e.g., "learning persona", "system instructions", "learning goal"), hovering over the field's name would display a tooltip explaining the field.

Participants reported their agreement on a seven-point Likert-type scale anchored with "Strongly disagree' and "Strongly agree". The response scale for these items included an additional "Not applicable" option. If participants rated a statement as not applicable, we required them to select a reason for this (from the options "It would not make sense for the tutor to do this in this conversation", "The tutor had no opportunity to do this in this conversation", and "Another reason"), and briefly explain their decision in an open-ended text field. We provide the text of these updated items in Table [8.](#page-27-2)

• Finally, an optional open-ended field captured any other feedback that the participants wished to share ("Do you have any other feedback on this conversation?").

<span id="page-27-2"></span>

<span id="page-27-0"></span>Table 8 | Updated rubric dimensions for conversation-level pedagogical assessment.

## **B.7. Pedagogical assessment: comparative questions**

After rating both individual conversations in a pair, participants then answered questions comparing the two conversations. Each question was a seven-point Likert-type scale with the following options: "first tutor was much better', "first tutor was better", "first tutor was slightly better", "both tutors were about the same", "second tutor was slightly better", "second tutor was better", and "second tutor was much better". See the list of comparative questions in Table [9.](#page-28-0) This was followed by a final optional free-text entry field in which participants could enter any additional feedback about the pair of conversations ("Do you have any other feedback on these two conversations?").

## <span id="page-27-1"></span>**B.8. Qualitative analysis: codebook**

**Introduction** This codebook outlines initial themes to code participant feedback on tutor comparisons. Participants interacted with two different tutors on a single scenario and then provided optional

<span id="page-28-0"></span>

Table 9 | Rubric for comparative pedagogical assessment

open-ended feedback. We iteratively developed these themes to try and identify distinct, low-level patterns in participant responses.

**Coding Instructions** Each theme represents a specific feature of the tutor's behavior or the learner's experience of the tutoring interaction. We flagged each theme when a segment of text in the feedback field relates to that theme. Multiple codes can be applied to the same segment if appropriate.

## **B.9. Qualitative analysis: additional quotes**

## 1. **Tutor Behavior & Style**

- gives away answers: Whether the tutor provides solutions, revisions, or answers readily or prompts the learner to work through the learning task.
- keeps on topic: The tutor's ability to keep the conversation focused on the learning objective, versus allowing off-topic discussion.
- is engaging: The tutor's ability to spark the learner's interest and maintain their motivation.
- **challenges learner**: The tutor's use of questions and feedback to push the learner to think deeply and construct robust understandings rather than merely complete a task.
- **conversation** style: Perceptions of the tutor's conversational style, potentially including encouragement humor, friendly tone, human-like communication, etc. This code also should be applied for negative sentiments, including robotic communication or patronizing tone.

# 2. **Instructional Approach**

- **step by step**: Whether the tutor breaks down concepts or processes into smaller, manageable chunks or steps.
- **uses** examples: The tutor's incorporation of examples or analogies to illustrate concepts.
- **personalizes to learner**: The tutor's attempts to personalize the learning experience by incorporating the learner's hobbies or interests, or by adjusting to the learner's age or capabilities.
- **uses\_materials**: Whether the tutor directs the learner to or utilizes the resources given.

## 3. **Content & Information**

- info amount: Perceptions of the tutor providing too much, too little, or an appropriate amount of information.
- **clarity**: How easily the learner understood the tutor's explanations.
- **accuracy**: Whether the tutor provided correct information.
- 4. **Technical Aspects**
	- **response\_time**: The speed at which the tutor replied to learner messages.
	- **formatting**: Problems with the way the tutor presented text, including use of symbols, paragraph length, and overall readability.
	- **tech\_error**: Any other bugs or glitches encountered during the interaction.

# <span id="page-30-0"></span>**C. Feasibility Study on Medical Education Subjects**

We performed a feasibility study with LearnLM on medical education subjects. Team members who were subject-matter experts in medical education designed a set of 50 scenarios for medical education subjects following the procedure described in Section [3.1.](#page-3-1) One example scenario is provided below. Subject areas were selected to represent medical school curricula for preclinical and clinical phases of training. We recruited a pool of  $N = 18$  medical students of whom 9 were in the preclinical phase of training and 9 in the clinical phase of training. Medical students were recruited through a third-party organization. Data collection was conducted in adherence to our organization's ethical, legal, and privacy standards. In this feasibility study, we focused on a comparison of LearnLM to Gemini 1.5 Pro from a learner perspective only.

<span id="page-30-1"></span>

Figure 14 | Preferences for LearnLM over Gemini 1.5 Pro according to 18 medical students on a set of 290 conversations across 50 scenarios for medical education subjects. These comparative ratings (on a seven-point -3 to +3 Likert scale) are aggregated to show overall preference for LearnLM over Gemini 1.5 Pro. The bar length and error bars indicate the estimated mean and its 95% credible interval for each measure.

For medical education subjects, we collected a total of 290 conversations. Conversations were roughly balanced across all 50 scenarios. Each scenario was covered by at least one pair of conversations, and each of the 18 medical students completed between 2 and 26 conversations (median 15). Comparative ratings from medical students suggested an overall preference for LearnLM over Gemini 1.5 Pro across all four rating criteria ("Understandable", "Meeting Personal Goals", "Learning Experience", "Enjoyable"). The strongest and statistically significant preference was expressed in terms of LearnLM being more enjoyable to interact with than the baseline comparison (Figure [14\)](#page-30-1).

Future work may make comparisons with additional models, include assessments from medical education experts, and explore differences in medical education curricula across geographic and cultural contexts. Importantly, the evaluations above are focused on pedagogy; additional evaluations with respect to accuracy, bias and harm from a medical expert perspective would be essential before such technology may be considered for use in real-world medical education settings.

